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PTO/SB/08 (09-06)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br>Date Submitted: May 3, 2007<br>(use as many sheets as necessary) |  | <b>Complete if Known</b> |                  |
|  |  | Application Number       | 10/796,534       |
|  |  | Filing Date              | 03/09/2004       |
|  |  | First Named Inventor     | Frank M. Keese   |
|  |  | Art Unit                 | 1772             |
|  |  | Examiner Name            | Loney, Donald J. |
|  |  | Attorney Docket Number   | 025948-0158      |

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| Sheet | 1 | of | 1 |
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| U.S. PATENT DOCUMENTS |                       |  |                                |   |   |
|-----------------------|-----------------------|--|--------------------------------|---|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|                       |                       | Number-Kind Code <sup>2</sup> (if known) |                                |   |   |
| DL                    | A1                    | 6,177,654 B1                             | 01-23-2001                     | Schackmuth                                      |   |
|                       | A2                    | 5,673,610                                | 10-07-1997                     | Stuck   |   |
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| Examiner Initials*                            | Cite No. <sup>1</sup> | U.S. Patent Application Document                | Filing Date of Cited Document<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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|                          |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |  |   |
|                          |                       |   |                                |  | T <sup>6</sup>  |

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|                                 |                       |  |  |  |
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|                    |                 |                 |         |
|--------------------|-----------------|-----------------|---------|
| Examiner Signature | <i>D. Loney</i> | Date Considered | 5/28/07 |
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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Date Submitted:** April 7, 2006

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| <b>Examiner Name</b>          | Loney, Donald J. |
| <b>Attorney Docket Number</b> | 025948-0158      |

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| Sheet | 1 | of | 2 |
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## U.S. PATENT DOCUMENTS

U.S. PATENT APPLICATION DOCUMENTS

## FOREIGN PATENT DOCUMENTS

**Examiner  
Signature**

Date Considered

5/28/07

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<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document as determined by the patent classification.

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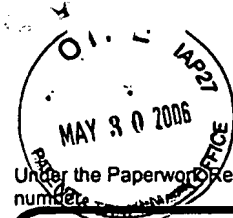
MILW 1964350.2

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|---------------------------------|----------|--|----------------|
| Examiner Initials*              | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>6</sup> |
| q                               | A21      | Saint-Gobain Complaint, filed December 23, 2005, 6 pages plus Tabs A-D   |                |
|                                 | A22      | Saint-Gobain's Memorandum in Support of Plaintiff's Motion for a Preliminary Injunction, January 17, 2006, 21 pages  |                |
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|                                 | A24      | January 12, 2006 Declaration of Peter D. Spohn, 4 pages  |                |
|                                 | A25      | January 12, 2006 Declaration of Kevin C. Bauler, 6 pages plus Exhibits A-F   |                |
|                                 | A26      | January 12, 2006 Declaration of Marcus A. Burch, 4 pages plus Exhibits A-K   |                |
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|                                 | A29      | January 31, 2006 Declaration of Douglas H. Pauley, 4 pages plus Exhibits A-D   |                |
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|                                 |          |  |                |
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|   |   |    |   | <b>Application Number</b>     | 10/796,534       |
|   |   |    |   | <b>Filing Date</b>            | 3/9/2004         |
|   |   |    |   | <b>First Named Inventor</b>   | Frank M. Keese   |
|   |   |    |   | <b>Group Art Unit</b>         | 1772             |
|   |   |    |   | <b>Examiner Name</b>          | Loney, Donald J. |
| <b>Sheet</b>  | 1 | of | 2 | <b>Attorney Docket Number</b> | 025948-0158      |

| U.S. PATENT DOCUMENTS |                       |                      |                                   |   |  |   |
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| Examiner Initials*    | Cite No. <sup>1</sup> | U.S. Patent Document |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|                       |                       | Number               | Kind Code <sup>2</sup> (if known) |   |  |   |
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| OL                              | A11                   | Exhibit O to Reexamination Request (Declaration of William C. Levensalor In Support of the Obviousness of the claims of U.S. Patent 6,919,122) ; 4 pages.  |                |
|                                 | A12                   | Defendant's Memorandum in Support of It's Motion To Stay Proceedings Three Months Pending Grant or Denial of Request for Reexamination; U.S. District Court, District of Massachusetts; 04/13/2006, 12 pages.  |                |
|                                 | A13                   | Declaration of Marcus Burch In Support of Plaintiff's Opposition to Defendant's Motion to Stay Proceedings In Light of Defendant's Request for Reexamination; U.S. District court, District of Massachusetts; 04/27/2006, 5 pages.                             |                |
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## U.S. PATENT DOCUMENTS

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|--------------------|-----------------------|----------------------|-----------------------------------|---|--|---|
|                    |                       | Number               | Kind Code <sup>2</sup> (if known) |   |  |   |
| DL                 | A1                    | 2005/0097824         | A1                                | Braunschweig et al.                             | 05-12-2005                                       |   |
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|                    | A16                   | 5,230,937            |                                   | Effenberger et al.                              | 07-27-1993                                       |   |
|                    | A17                   | 5,194,335            |                                   | Effenberger et al.                              | 03-16-1993                                       |   |
|                    | A18                   | 4,943,473            |                                   | Sahatjian et al.                                | 07-24-1990                                       |   |
|                    | A19                   | 4,770,927            |                                   | Effenberger et al.                              | 09-13-1988                                       |   |
|                    | A20                   | 4,555,543            |                                   | Effenberger et al.                              | 11-26-1985                                       |   |
|                    | A21                   | 4,325,478            |                                   | Richard   | 04-20-1982                                       |   |
|                    | A22                   | 4,311,474            |                                   | Standley  | 01-19-1982                                       |   |
|                    | A23                   | 4,294,539            |                                   | Spehrley, Jr.                                   | 10-13-1981                                       |   |
|                    | A24                   | 3,977,265            |                                   | Worley et al.                                   | 08-31-1976                                       |   |
|                    | A25                   | 3,976,370            |                                   | Goel et al.                                     | 08-24-1976                                       |   |
|                    | A26                   | 3,123,354            |                                   | Ungerer   | 03-03-1964                                       |   |
|                    | A27                   | 2,430,500            |                                   | Freedlander et al.                              | 11-11-1947                                       |   |
| DL                 | A28                   | 2,305,044            |                                   | Toews   | 12-15-1942                                       |   |

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D. Loney

Date Considered

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|  |   |    |   | Application Number       | 10/796,534       |
|  |   |    |   | Filing Date              | 3/9/2004         |
|  |   |    |   | First Named Inventor     | Frank M. Keese   |
|  |   |    |   | Group Art Unit           | 1772             |
|  |   |    |   | Examiner Name            | Loney, Donald J. |
|  |   |    |   | Attorney Docket Number   | 025948-0158      |
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|                          |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |  |   |   |                |
| ✓                        | A29                   | CA                      | 2326099             |                                      | Kraft et al.                                     | 10-07-1999  |   |                |
| ✓                        | A30                   | GB                      | 1445143             |                                      | Itek Corp.                                       | 08-04-1976  |   |                |
| ✓                        | A31                   | GB                      | 1433204             |                                      | Bechtloff  | 04-22-1976  |   |                |
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|                                 | A32                   | <del>Sales Report by sales person. - Bate No. 743</del>  |                |
|                                 | A33                   | <del>Web printout from St. Louis Factory Supply Inc. - Bate Nos. 785 - 796</del>   |                |
|                                 | A34                   | <del>Spreadsheet entitled "Customer Booking Detailed Report" - Bate Nos. 804 - 815</del>   |                |
|                                 | A35                   | <del>Search terms - Bate Nos. 1229-1230</del>  |                |
|                                 | A36                   | <del>Search terms - Bate Nos. 1231-1232</del>  |                |
|                                 | A37                   | <del>Search terms - Bate No. 1233</del>  |                |
|                                 | A38                   | <del>Search terms - Bate No. 1234</del>  |                |
|                                 | A39                   | <del>Search terms - Bate No. 1235</del>  |                |

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|   |   | Group Art Unit           | 1772             |
|   |   | Examiner Name            | Loney, Donald J. |
| Sheet   | 3 | of                       | 9                |
|   |   | Attorney Docket Number   | 025948-0158      |

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|                                 | A40                   | <del>Search terms - Bate Nos. 1236-1237</del>  |                |
|                                 | A41                   | <del>Search terms - Bate Nos. 1238-1239</del>  |                |
|                                 | A42                   | <del>Search terms - Bate Nos. 1240-1241</del>  |                |
|                                 | A43                   | <del>Search terms - Bate Nos. 1242-1243</del>  |                |
|                                 | A44                   | <del>Search terms - Bate Nos. 1244-1246</del>  |                |
|                                 | A45                   | <del>Search terms - Bate Nos. 1247-1248</del>  |                |
|                                 | A46                   | <del>Search terms - Bate No. 1249</del>  |                |
|                                 | A47                   | <del>Search terms - Bate No. 1250</del>  |                |

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|                                 | A51                   | <del>"Process and Conveyor Belting" - Ammeraal - Bate Nos. 1791 - 1807</del>   |                |
|                                 | A52                   | <del>"Process and Conveyor Belting" - Ammeraal - Bate No. 1808</del>   |                |
|                                 | A53                   | <del>Especificaciones for Bandas Transportadoras y de proceso - ammeraal - Bate Nos. 1829 - 1873</del>   |                |
|                                 | A54                   | <del>Derco specs - Bate Nos. 2188 - 2195</del>   |                |
|                                 | A55                   | <del>Derco specs - Bate Nos. 2202 - 2210</del>   |                |

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|                                 | A56                   | <del>Derco specs - Bate Nos. 2217 - 2228</del>   |                |
|                                 | A57                   | <del>Derco specs - Bate Nos. 2229 - 2234</del>   |                |
|                                 | A58                   | <del>Derco specs - Bate Nos. 2237</del>  |                |
|                                 | A59                   | Mol Belting Company - Comparison chart for Plid Thermal Plastic Belting and other documents from Mol Belting Company - Bate Nos. 2256 - 2270   |                |
|                                 | A60                   | <del>Mol belting Co - Bate Nos. 2271 - 2277</del>  |                |
|                                 | A61                   | <del>Mol Belting Co - Bate Nos. 2279 - 2358</del>  |                |
|                                 | A62                   | <del>Fabreeka Specialist Conveyor Belting Product Brochure, Bate Nos. 2359 - 2400</del>  |                |
|                                 | A63                   | Introductory Guide to Conveyor Belting, published by The National Industrial Belting Association - Bate Nos. 2448 - 2460   |                |

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|                                 | A65                   | Textbook for loading considerations, belt selection, etc. - Bate Nos. 2476 - 2480  |                |
|                                 | A66                   | Book chapter entitled "A Guide to Steep Angle Conveying" - Bate Nos. 2481 - 2500   |                |
|                                 | A67                   | Polyflex cleating procedure fax - Bate Nos. 2516   |                |
|                                 | A68                   | Fabrica brochure - Bate Nos. 2518 - 2521   |                |
|                                 | A69                   | Fabrica Brochure - Bate Nos. 2522 - 2525   |                |
|                                 | A70                   | Fabrica Brochure - Bate Nos. 2526 - 2529   |                |
|                                 | A71                   | Fabrica Brochure - Bate Nos. 2530 - 2533   |                |

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|                                 | A73                   | <del>Shingle Belting brochure</del> - Bate Nos. 2566 - 2581  |                |
|                                 | A74                   | <del>Fabrica invoice</del> - Bate No. 2586   |                |
|                                 | A75                   | <del>Esbelt brochure</del> - in Spanish - Bate Nos. 2587 - 2592  |                |
|                                 | A76                   | <del>Strongbelt brochure</del> - in a foreign language - Bate Nos. 2593 - 2734   |                |
|                                 | A77                   | <del>Royalon brochure</del> - Bate Nos. 2735 - 2749  |                |
|                                 | A78                   | <del>Brochure for Belting Industries Company, Inc.</del> - Bate Nos. 2757 - 2767   |                |
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|                                 | A80                   | <del>Breco brochure - Bate Nos. 2778 - 2789</del>  |                |
|                                 | A81                   | <del>Mectrol brochure - Bate Nos. 2790 - 2799</del>  |                |
|                                 | A82                   | <del>Mectrol brochure - Bate Nos. 2806 - 2892</del>  |                |
|                                 | A83                   | <del>Schlatterer Espana brochure - Bate Nos. 2893 - 2923</del>   |                |
|                                 | A84                   | <del>Customer Booking Reports - Bate Nos. 3009 - 3017</del>  |                |
|                                 | A85                   | <del>Introductory guide to conveyor belting - Bate Nos. 3046 - 3083</del>  |                |
|                                 | A86                   | <del>AFC press release - Bate No. 3170</del>   |                |
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|  |   |    |   | Application Number       | 10/796,534       |
|  |   |    |   | Filing Date              | 3/9/2004         |
|  |   |    |   | First Named Inventor     | Frank M. Keese   |
|  |   |    |   | Group Art Unit           | 1772             |
|  |   |    |   | Examiner Name            | Loney, Donald J. |
|  |   |    |   | Attorney Docket Number   | 025948-0158      |
| Sheet  | 9 | of | 9 |                          |                  |

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|                                 | A88                   | <del>Glossary of Industry Terms for the Conveyor and Elevator Belting Industry - Bate Nos. 3972 - 3998</del>   |                |
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|                                 | A90                   | <del>AFC Press Release - Bate Nos. 4000</del>  |                |
|                                 | A91                   | <del>AFC Press Release - Bate Nos. 4001 - 4002</del>   |                |
|                                 | A92                   | <del>AFC Press Release - Bate Nos. 4003</del>  |                |
|                                 | A93                   | <del>List of AFC press releases - Bate Nos. 4008 - 4009</del>  |                |
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